Commonwealth of Virginia Department of Professional and Occupational Regulation 9960 Mayland Drive, Suite 400 Richmond, Virginia 23233-1485 (804) 367-8526 www.dpor.virginia.gov



## Real Estate Board FIRM LICENSE APPLICATION Fee \$370.00

## A check or money order payable to the <u>TREASURER OF VIRGINIA</u>, or a completed <u>credit card insert</u> must be mailed with your application package. APPLICATION FEES ARE NOT REFUNDABLE.

**Sole Proprietor** (Broker-owned) businesses are not required to file this application. 1. Firm Name All names must be the same as displayed on government issued ID or organization/business documents. Assumed or Fictitious Name 2. If an assumed/fictitious name is to be used, a copy of the certificate filed with the Virginia State Corporation Commission (SCC) pursuant to §59.1-69 of the Code of Virginia must be attached to this application. 3. Α. Type of business entity (select only one) Sole Proprietorship (non-broker owned) General Partnership Solely Owned LLC Corporation Limited Liability Company Limited Partnership Other, please specify: Other: Association, Business Trust, Government Agency, Joint Venture, Limited Liability Partnership, Non Profit, Professional Corporation, or Professional Limited Liability Company. (If applicable) Β. State Corporation Commission (SCC) Number: Ø All businesses in Virginia must be registered with the SCC (including all out-of-state businesses). Firms/Businesses shall be organized as a business entity under the laws of the Commonwealth of Virginia or otherwise authorized to transact business in Virginia. No person, partnership, limited liability company or corporation shall conduct or transact business in this Commonwealth under any assumed or fictitious name unless register with the Virginia SCC. For additional information, contact the SCC at www.scc.virginia.gov or by phone at (804) 371-9733. Provide <u>one</u> of the following identification numbers\*: 4. Business Federal Employer Identification Number (EIN) Sole Proprietor's/Individual's Social Security Number and/or Virginia Department of Motor Vehicles Control Number Enter the same identification number as used on previous applications or licenses on file with the department. \* State law requires every applicant, who is not a sole proprietor or solely owned LLC, to provide a federal employer identification number. Sole proprietor or solely owned LLC who do not have a FEIN must provide a social security number or a control number issued by the Virginia Department of Motor Vehicles. 5. Mailing Address (PO Box accepted) The mailing address will be printed on the license. City State Zip Code Check here if Street Address is the same as the Mailing Address listed above Street Address (PO Box not accepted) 6. PHYSICAL ADDRESS REQUIRED If Principal Broker resides in Virginia, you must have a Virginia Address. City Zip Code State SCC NO. ISSUE DATE ACTIVE TRADE NAME REGISTERED DATE

BOARD USE ONLY				No 🗌 Yes 🗌	No Yes				
OFFICE USE ONLY	DATE	FEE	trans code	ENTITY	#	0226	FILE #/LICENSE #	#	ISSUE DATE

7. Contact Numbers

Primary Telephone

Alternate Telephone

8. Email Address

Email address is considered a public record and will be disclosed upon request from a third party.

- The email address provided above will be used for the Firm's online profile with DPOR. It can <u>not</u> be used by any other individual's license holder or other firms registered with DPOR or the Real Estate Board. The email address provided must be unique to this firm's license.
- 9. Is the real estate firm a limited liability company?
  - No [

Yes

If yes, provide the following information for each member and managing member of the limited liability company. \*<u>Every managing member who actively participates in this firm's Virginia brokerage business must hold a license as a Virginia Real Estate Broker.</u>

Full Name (print name)	Member	Managing Member*	VA Real Estate License No. (if licensed). Otherwise provide an Individual's Social Security No. VA DMV Control No., or Company/Trust Tax ID No.	Actively Participate in VA business?
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌
	No 🗌	No 🗌		No 🗌
	Yes 🗌	Yes 🗌		Yes 🗌

## 10. Is the real estate firm a corporation?

- No
- Yes [
  - □ If yes, provide the following information for each officer and Director from your corporation. \*<u>Every</u> <u>officer who actively participates in this firm's Virginia brokerage business must hold a license as a</u> Virginia Real Estate Broker.

> The officers listed below must be consistent with the officers reported to the VA State Corporation Commission (SCC).

Full Name (print name)	Officer's* Title or Director	VA Real Estate License No. (if licensed). Otherwise provide Social Security No. or VA DMV Control No.	Actively Participate ir VA business?
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌
			No 🗌
			Yes 🗌

11. Is the real estate firm a sole proprietorship (non-broker owned) or partnership?

No

Yes

If yes, provide the following information for each sole proprietor or partner from your partnership.

\*Every sole proprietor (non-broker owned)/partner who actively participates in this firm's Virginia brokerage business must hold a license as a Virginia Real Estate Broker.

Full Name (print name)	Sole Proprietor	Partner* of Partnership	VA Real Estate License No. (if licensed). Otherwise provide Social Security No. or VA DMV Control No.	Actively Particip VA busines:	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes 🗌	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes 🗌	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes 🗌	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	
	No 🗌	No 🗌		No 🗌	
	Yes	Yes 🗌		Yes 🗌	

<u>Required Attachment</u>: Attach a copy of the Firm's Partnership Agreement and a copy of the certificate of Partnership issued by the State Corporation Commission (SCC).

## 12. Is the real estate firm an association?

No

☐ If yes, provide the following information for each associate from your association. \*<u>Every associate who</u> <u>actively participates in this firm's Virginia brokerage business must hold a license as a Virginia Real Estate</u> <u>Broker.</u>

Full Name (print name)	Associate*	VA Real Estate License No. (if licensed). Otherwise provide Social Security No. or VA DMV Control No.	Actively Participate in VA business?
	No		No
	Yes 🗌		Yes 🗌
	No 🗌		No
	Yes 🗌		Yes 🗌
	No 🗌		No
	Yes 🗌		Yes 🗌
	No 🗌		No
	Yes 🗌		Yes 🗌
	No 🗌		No 🗌
	Yes 🗌		Yes 🗌
	No 🗌		No 🗌
	Yes 🗌		Yes 🗌
	No 🗌		No 🗌
	Yes 🗌		Yes 🗌
	No 🗌		No 🗌
	Yes 🗌		Yes 🗌

13. A. Firm's **Principal Broker** Name:

	Last	First		Middle	Generation					
B.	Provide the Principal Broker's Social Security and/or VA DMV Control Number*:									
	Social Security Number and/or									
	Uirginia DMV Control Number									
≻	Enter the same identification number as used on examination, previous applications or licenses on file with the department.									
*	State law requires every applicant, who is not a sole proprietor (non-broker owned) or solely owned LLC, to provide a federal employer identification number. Sole proprietor (non-broker owned) or solely owned LLC who do not have a FEIN must provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.									
C.	Which of the following Broker Applications have been included to complete your application package?									
	Broker License Applie	Broker License Application (new applicants only)								
	Concurrent Broker Application (existing licensee working for more than one firm)									
	Activate/Transfer License Application (existing licensee who are activating or transferring their license)									
	Provide your Real E	state license numbe	er:							
By s	igning this application, I d	certify the following	g statements:							
5	<ul> <li>I am aware that subm</li> </ul>	itting false inform	ation or omitting pe	rtinent or material informatior	in connection with this					
	11 31	0	5	vocation or denial of license.						
	<ul> <li>I will notify the Board requested license, cer</li> </ul>			n provided in this application	n prior to receiving the					
		e the Departmen	t may contact. I a	ng me or any statement in th Iso agree to present any cre						
				lirginia related to this professi rginia Real Estate Regulation.						
Firm	's Principal Broker's Sigr	ature:								
				D	ate					
	Owner/Officer Signat	ure is required C	ONLY if the current	Principal Broker did not sig	n above.					
Owr	er/Officer's Name									
	Last		First	Middle	Generation					
Owr	er/Officer's Signature			D	ate					
	<b>.</b>									

ATTACHMENTS: Per your response above, check all the documents included with this application package.

- All businesses with an assumed/fictitious name must attach a copy of the certificate filed with the Virginia State Corporation Commission (SCC) pursuant to <u>\$59.1-69</u> of the Code of Virginia. question #2
- Limited Liability Companies must provide a copy of the <u>Operating Agreement</u> (*not* the Articles of Organization) for verification purposes. (Question #9)
- General Partnerships must attach <u>Partnership Agreement</u> and certificate of Partnership issued by the SCC. (Question #11)
- Broker Application (Question # 13.C)

14.